Unwrap Them First: *Operando* Potential-induced Activation Is Required when Using PVP-Capped Ag Nanocubes as Catalysts of CO_2 Electroreduction

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Abstract: Metallic nanoparticles of different shape can be used as efficient electrocatalysts for many technologically and environmentally relevant processes, like the electroreduction of CO_2. Intense research is thus targeted at finding the morphology of nanosized features that best suits catalytic needs. In order to control the shape and size distribution of the designed nanoobjects, and to prevent their aggregation, synthesis routes often rely on the use of organic capping agents (surfactants). It is known, however, that these agents tend to remain adsorbed on the surface of the synthesized nanoparticles and may significantly impair their catalytic performance, both in terms of overall yield and of product selectivity. It thus became a standard procedure to apply certain methods (*e.g.* involving UV-ozone or plasma treatments) for the removal of capping agents from the surface of nanoparticles, before they are used as catalysts. Proper design of the operating procedure of the electrocatalysis process may, however, render such cleaning steps unnecessary. In this paper we use poly-vinylpyrrolidone (PVP) capped Ag nanocubes to demonstrate a mere electrochemical, *operando* activation method. The proposed method is based on an observed hysteresis of the catalytic yield of CO (the desired product of CO_2 electroreduction) as a function of the applied potential. When as-synthesized nanocubes were directly used for CO_2 electroreduction, the CO yield was rather low at moderate overpotentials. However, following a potential excursion to more negative potentials, most of the (blocking) PVP was irreversibly removed from the catalyst surface, allowing a significantly higher catalytic yield even under less harsh operating conditions. The described hysteresis of the product distribution is shown to be of transient nature, and following *operando* activation by a single 'break-in' cycle, a truly efficient catalyst was obtained that retained its stability during long hours of operation.

Keywords: Catalyst activation · CO_2 reduction · Electrocatalysis · Nanoparticles · Polyvinylpyrrolidone (PVP)

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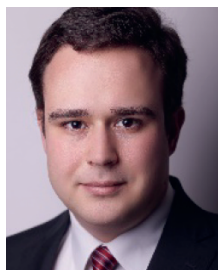
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1. Introduction

As a result of the ever-increasing consumption of fossil fuels, gigatons of CO_2 are released to the atmosphere every year, expediting global warming.[1] A possible way of mitigating the effects of rising CO_2 concentrations in the atmosphere is to reduce it electrochemically. This approach does not only allow CO_2 to be regarded as a valuable raw material instead of an environmentally dangerous waste, but it may also provide a solution for the storage of excess renewable (hydro-, solar or wind) energy.[1] It

is probably for this reason that the topic of electrochemical CO_2 reduction – an otherwise more than 150 years old idea[2] – has recently become the forefront of electrochemical research.[3] Today, a tremendous amount of research is invested in the design of new electrocatalyst materials for CO_2 electroreduction, and researchers seem to agree that apart from their chemical composition it is the nanoscale structure of electrocatalysts that mostly affect their performance.[4,5]

In order to create nanosized catalyst particles with a well-defined size and structure distribution, and to avoid the agglomeration of such particles, the synthesis route of colloidal catalyst nanoparticles (NPs) very often involves the use of surfactants (capping agents). When the aim is to synthesize metallic (*e.g.* Ag[6,7]) NPs, a very often used agent is poly-(vinylpyrrolidone), PVP. PVP owes its popularity to a four-fold synergistic effect, *i.e.* depending on the conditions of synthesis, it may act as a stabilizer, a shape control, a dispersant and/or a reducing agent.[8] Although PVP can be used for the design of a variety of Ag nanostructures (such as nanocubes[6,9] or nanowires[10]), the application of such agents has one significant drawback. That is, surfactants used for the synthesis tend to remain adsorbed on the surface of the nanoparticles, hindering or even impairing their catalytic activity.

As a result, capping agent removal steps must be applied before the NPs can effectively be used as catalysts in a CO_2 electroreduction process. Removal steps often imply the use of additional solvents,[11] or they rely on high temperature plasma[12] or UV–ozone treatments.[13] These require precise optimization in order to remove most of the capping agents while keeping effects detrimental to the catalyst structure at a minimum. Of course, in order to keep the catalyst particles as intact as possible, evading any forms of thermal treatments would be highly desirable, and in this respect the application of electrochemical activation methods seem to provide a viable alternative. That the application of harsh reductive potentials in an electrochemical cell can successfully activate a catalyst (that may afterwards be used more effectively, even under milder conditions) was recently shown by our group for Ag nanowires,[10] and by the group of Buonsanti[14] for Cu nanocrystal catalysts.

In this short communication we aim to investigate this effect further, and show that by applying PVP-capped (untreated) Ag nanocubes for the electroreduction of CO_2, a positive hysteresis effect can be observed when determining the catalytic selectivity towards CO formation as a function of the applied (cathodic) potential. Based on these findings we infer that instead of using thermal methods, surface-pinned capping agents could also be removed and metallic NP catalysts can be activated *operando*, by the application of a 'break-in' cycle in the electrolysis cell.

Effective 'break in', in the case of PVP-capped Ag nanocubes (Ag NCs) applied for the electroreduction of CO_2, requires the setting of harsh cathodic potentials. Under such conditions, although the $CO:H_2$ yield ratio is far from ideal, most of the capping agents are irreversibly desorbed from the surface of the NCs. While during the time of 'break-in', some catalyst degradation does occur, at the end we obtain a catalyst that works better even under normal (not so harsh) operating conditions. Improvement can be seen both in the achievable current and in the higher selectivity for CO production.

2. Experimental

2.1 *Synthesis of Ag NCs*

Ag NCs were synthesized using a previously reported method with minor modification.[6] 5 cm³ of ethylene glycol (J. T. Baker) was added to a 250 cm³ two-neck flask preheated to 160 °C. A light N_2 flow was introduced just above the ethylene glycol for the first 10 min, followed by heating the solvent for another 50 min. Next, 3 cm³ ethylene glycol solution of $AgNO_3$ (94 mmol

dm^{-3}) and 3 cm^3 ethylene glycol solution containing polyvinylpyrrolidone (M_w = 55000 g mol^{-1}, 144 mmol dm^{-3}) and NaCl (0.22 mmol dm^{-3}) were simultaneously injected into the flask at a rate of 45 cm^3 h^{-1}, with the solution observed to turn yellow during this process. Under continuous stirring at 160 °C, the solution exhibited a color transition series from yellow to clear yellow, brown, greenish, and finally ochre and opaque. The whole process required 16 to 24 h for completion. After the solution had turned opaque, the reaction was quenched by adding 22 cm^3 acetone to the hot solution, followed by cooling in an ice-water bath. To purify the NCs, the solution was first centrifuged at 2000 g for 30 min, then the precipitate was dispersed and centrifuged, three times, in 10 cm^3 of deionized water at 9000 g for 10 min per run.[15]

2.2 Preparation of Ag NCs catalyst ink

For the preparation of the carbon-supported Ag NCs ink, 1.5 mg of the Ag NCs and 0.26 mg of carbon black (Vulcan XC 72R, Cabot) were separately dispersed in 10 cm^3 of isopropanol (VLSI Selectipur, BASF) by 1 h sonication. Both suspensions were intermixed, sonicated for 1 h and dried using a rotary evaporator. The obtained carbon-supported Ag NCs were then re-dispersed in 1 cm^3 of isopropanol containing 50 µl of Nafion (5 wt.%, 15–20% water, Sigma-Aldrich). The resulting suspension was subjected to sonication for 1 h, yielding a homogeneous catalyst ink (85% Ag NCs and 15% carbon black).

2.3 Preparation of the Gas Diffusion Electrodes

Ag NCs containing gas diffusion electrodes (Ag NC GDEs) for all electrochemical and characterization experiments were prepared as follows: a 0.8 cm × 3 cm carbon paper (Sigracet 39 BC, Fuel Cell Store) was cut and placed over a nylon membrane filter (pore size 0.22 µm, Fischerbrand) on top of the funnel of a vacuum filtrating system. The GDE was then covered by a rectangular mask, leaving 0.2 cm^2 uncovered and 141.5 µl of the carbon supported Ag NCs ink was drop-cast on top of it. The resulting Ag NC GDEs were dried at ambient conditions for at least 30 min and then their backside and edges were masked with Teflon tape, to leave only the Ag NCs ink-modified surface uncovered (0.2 cm^2). Analysis by inductively coupled plasma-mass spectrometry (ICP–MS) of the freshly prepared samples was used to determine the catalyst mass loading, which amounted to ~71 µg cm^{-2} Ag.

2.4 Electrochemical Measurements and Product Analysis

Electrochemical experiments were performed using a PGSTAT128N potentiostat/galvanostat (Metrohm Autolab) and a custom-made, airtight H-type cell with a Nafion membrane (Nafion 117, Sigma Aldrich) separating the cathode and anode compartments. The three-electrode arrangement consisted of the Ag-NC-GDE working, a Pt foil (1 cm × 1 cm) counter and a single junction (Pine Research) Ag | AgCl | KCl (sat.) reference electrode. Reported current densities were obtained by normalizing the current to the geometric surface area of the working electrode, 0.2 cm^2. Prior to the electrolysis experiments, both cell compartments were filled with 32 cm^3 of either 0.5 mol dm^{-3} or 2 mol dm^{-3} KHCO$_3$ solution (ACS grade, Sigma-Aldrich) and then saturated by CO$_2$ gas (99.999%, Carbagas, Switzerland) for at least 30 min. For the sake of comparability, electrode potentials in the paper are referred to the reversible hydrogen electrode (RHE), calculated as:

$$E_{vs.\ RHE} = E_{vs.\ Ag\,|\,AgCl} + 210\ mV + 59\ mV \cdot pH \qquad (1)$$

For all potentiostatic experiments, the measured electrode potential was *IR*-corrected post-experimentally, for which the solution resistance was determined impedimetrically at the beginning of electrolysis. The pH values of the CO$_2$-saturated 0.5 mol dm^{-3} and 2 mol dm^{-3} KHCO$_3$ solutions were 7.4 and 7.9, respectively. Electrolyses were run for 60 min and online gas chromatography was applied (every 20 min) to quantify the formed products.

Gaseous products generated in the cell were detected by connecting the purging gas outlet to a GC analyzer (SRI Instruments Multigas Analyzer). The continuous flow of the carrier CO$_2$ gas through the electrolysis cell carried volatile reaction products from the head-space into the sampling loops of the gas chromatograph. The partial current I_i, corresponding to the formation of a gaseous product i, can be calculated[16] as

$$I_i = x_i\ n_i\ F\ v_m, \qquad (2)$$

where x_i denotes the mole fraction of the products, determined by GC using an independent calibration standard gas (Carbagas); n_i is the number of electrons involved in the reduction reaction to form a particular product (n = 2 for both CO and H$_2$ formation); F = 96485.3 C mol^{-1} is Faraday's constant; and v_m is the molar CO$_2$ gas flow rate measured by a universal flowmeter (7000 GC flowmeter, Ellutia) at the exit of the electrochemical cell. The Faradaic efficiency (FE) of a given reaction product can be determined by dividing the respective partial current, determined from Eqn. (2), by the total current measured electrochemically. A thermal conductivity detector (TCD, for the detection of H$_2$) and a flame ionization detector (FID, for the detection of CO) were equipped to our gas chromatograph.

The electrolyte was analyzed after the electrolysis experiment to quantify the amount of formate produced by means of ion exchange chromatography (Metrohm Ltd., Switzerland). This chromatograph was coupled to an L–7100 pump, a separation and an ion exclusion column (Metrosep A Supp 7-250) and a conductivity detector.

2.5 Scanning Electron Microscopy (SEM) and Energy-dispersive X-ray Spectroscopy (EDX) Characterization

The morphological characterization of the prepared Ag NC GDEs by SEM imaging experiments was performed before (for the as-prepared electrodes) and after electrochemical treatment. Analysis was conducted using a Zeiss Gemini 450 SEM equipped with an InLens secondary electron and a back-scattered electron detector. An accelerating voltage of 5 kV and a beam current of 200 pA were applied at a working distance of 4.5 mm. The AZtec 4.2 software (Oxford Instruments) was used to acquire EDX surface mappings of selected Ag NC GDEs. An acceleration voltage of 10 kV and a beam current of 1.2 nA were applied at a working distance of 8.5 mm.

2.6 Determination of Catalyst Loading by Inductively Coupled Plasma-Mass Spectrometry (ICP–MS)

Freshly prepared Ag NC GDEs were immersed in 3 cm^3 of concentrated HNO$_3$ (BASF) to dissolve the Ag NCs embedded on their surfaces for 24 h. The resulting solutions were diluted with 3% HNO$_3$ solution by a factor of 500 and were then fed into a NExION 2000 ICP–MS instrument (Perkin Elmer) to obtain the Ag mass loading of the electrodes.

3. Results and Discussion

A peculiar hysteresis effect (Fig. 1) was observed when conducting electrolysis experiments coupled to chromatographic product detection using PVP-capped Ag NCs in a CO$_2$-saturated, 2.0 mol dm^{-3} KHCO$_3$ solution. Here we carried out potentiostatic electrolyses, all lasting for one hour, and recorded a relatively stationary current that was later averaged and

compared to the amounts of CO and H$_2$, determined by gas chromatography. It is important to note that the determined total amounts of CO and H$_2$ did not account for a 100% of Faradaic efficiency, and some 5% of formate (HCOO$^-$) was found in the solution by post-electrolysis liquid chromatography analysis after each electrolysis, practically independently from the applied potential.

The first electrolysis experiment was carried out at an applied potential of −0.75 V *vs.* RHE, where the Faradaic efficiency of CO production was relatively low, ~55%. By gradually stepping the potential in the cathodic direction, the FE of CO production first increased, reaching a maximum of ~82% at around −0.9 V, as shown in Fig. 1(a). At potentials even more negative, CO$_2$ reduction (CO production) became disfavored compared to the competing hydrogen evolution reaction (HER).

In our experiments, −1.1 V was the most extreme potential reached, following which we began to gradually apply lower voltages. As shown in Fig. 1(a), after a first excursion to −1.1 V, the measured FE of CO production remained higher even at potentials just mildly cathodic, and the FE of CO production did not drop below 70% at potentials as positive as −0.6 V *vs.* RHE.

It is interesting to note in Fig. 1(b), showing plots of partial current densities of CO and H$_2$ formation as a function of potential, that the partial current of HER follows – within range of error – the same track during the negative and the positive going scans of the potential excursion. For CO, however, a significant enhancement of currents can be observed during the latter, positive going scan, which allows us to conclude that the first potential excursion to extremely negative potentials indeed served as a 'break-in' of the catalyst. Although it is obvious that −1.1 V, in the current system, is not an ideal operating potential, it seems that applying this value for a short time allows the catalyst to be operated, later on, at milder potentials, where it can then still produce CO with a good yield.

The described activation method has its origins in the potential-induced removal of PVP from the surface of the Ag NCs, occurring at negative potentials that can overcome the
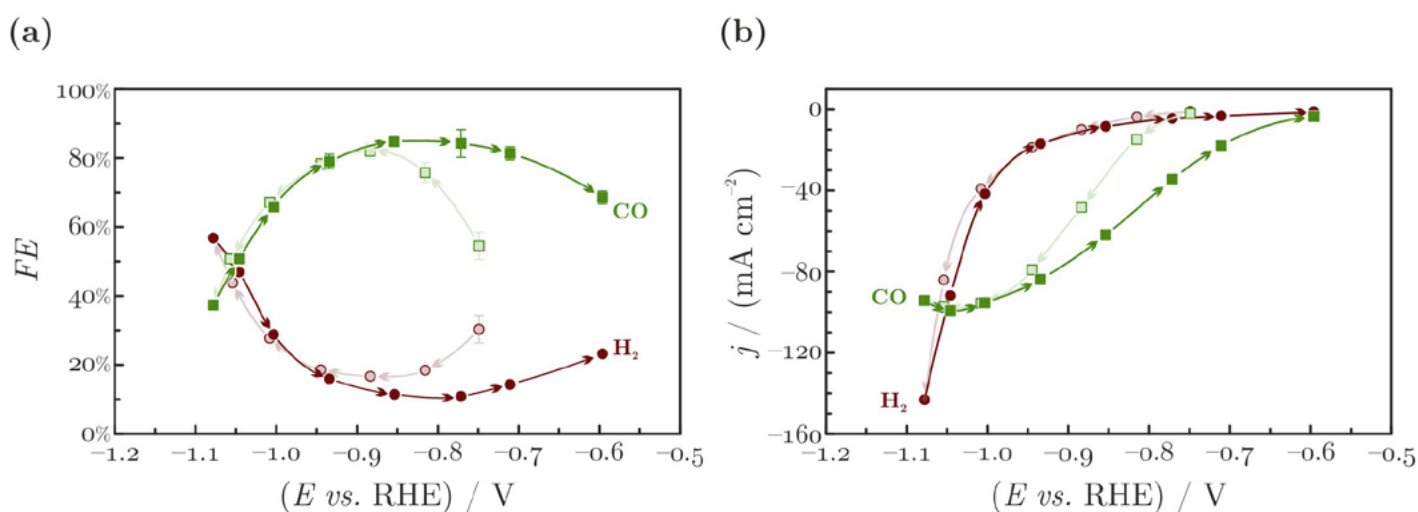

Fig. 1. Potentiostatic electrolyses were carried out using PVP-coated Ag NCs dropcast on a GDE, used as electrocatalysts of CO$_2$-reduction in a CO$_2$-saturated 2.0 mol dm^{-3} KHCO$_3$ solution. Faradaic efficiencies (a) and partial current densities (b) of CO (green) and H$_2$ (red) are shown as a function of the *IR*-drop corrected electrode potential. Data (dots) were recorded by gas chromatography; trends (curves) were created by spline interpolation. Arrows show the direction of the potential excursion.
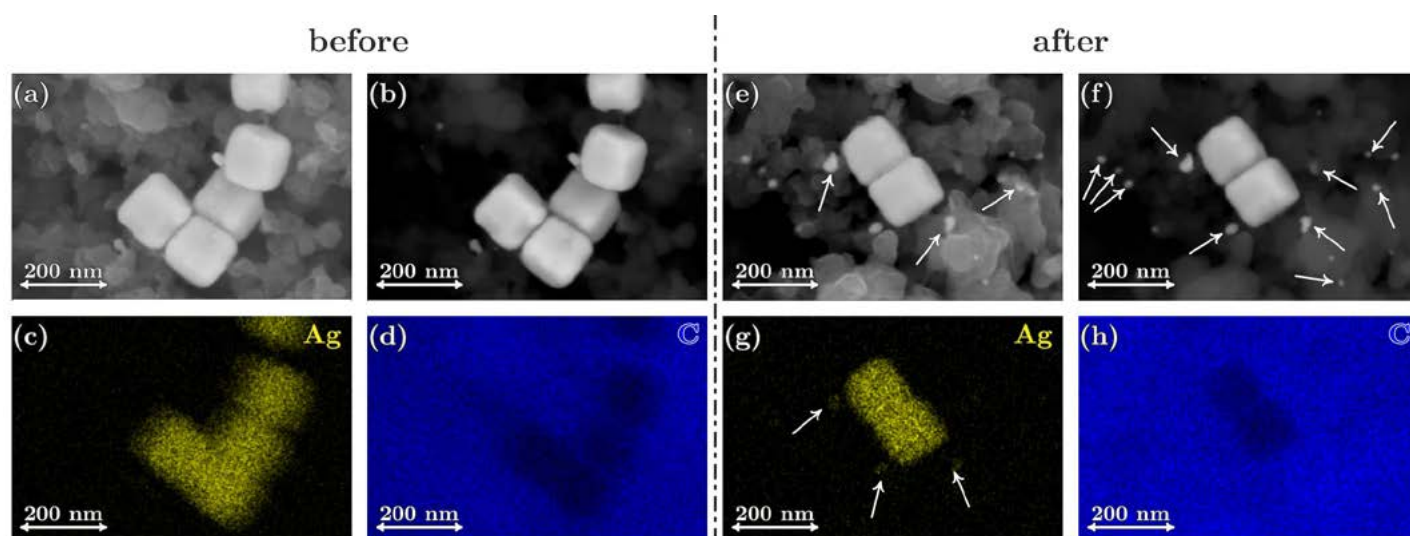

Fig. 2. Ag NCs drop-cast on a GDE, as observed before and after applying the electrochemical treatment shown in Fig. 1, in a CO$_2$-saturated 2.0 mol dm^{-3} KHCO$_3$ solution. Panels (a) and (e) show the secondary electron, (b) and (f) the back-scattered electron images of the NCs, with a side length of ~100 nm. Elemental composition maps, recorded by EDX, are shown in panels (c) and (g) for silver and in panels (d) and (h) for carbon. The arrows point to smaller Ag particles, formed by the degradation of the NCs during the potential-induced activation.

binding strength between the Ag NCs and their PVP coating. [14] The method can be called *operando*, since it can directly be realized within an electrochemical cell, rendering the use of other (solvent[11] or thermal annealing-based[12,13]) capping agent removal techniques unnecessary.

In order to apply *operando* activation, only a single 'break-in' electrolysis cycle (at suitably negative potentials) is required to gain a catalyst that can later work stably and highly active, even at less reductive potentials. The increase of activity is, as seen in Fig. 1, very significant, and following *operando* activation the catalyst does not lose its activity for hours of electrolyses.

The method has only one, minor flaw: that is, as seen in Fig. 2, during the initial activation step the Ag NCs tend to degrade. As a result, some newly formed, small Ag particles appear on the catalyst surface. These, however, seem not to disturb the electrocatalysis process, and when the electrolysis is continued at milder potentials, degradation stops and no such particles will further be formed.

The degradation effects described above can be sufficiently overcome if we make sure that during the 'break-in' cycle only lower currents (creating less mechanical strain) flow through the catalyst. This can be achieved by supplying less reactants to the surface; *e.g.* by lowering the concentration of the $KHCO_3$ electrolyte from 2 to 0.5 mol dm^{-3}. By conducting electrolyses in such a system, we observe a hysteresis (Fig. 3) that is similar to the one seen in the previous case, although the measured partial currents (both for CO and for H_2) are significantly lower. Yet, this does not seem change the PVP-to-metal binding strength and the value of the cathodic potential that has to be reached in order to break these bonds. Thus the activity increases observed in Fig. 3 compare well with those seen in Fig. 1, while significantly less degradation is observed (compare Figs 4 and 2).

4. Conclusion

Silver nanoparticles with well-defined shapes can be fabricated by a variety of synthesis methods, and the thus prepared particles can potentially be used as efficient catalysts in CO_2
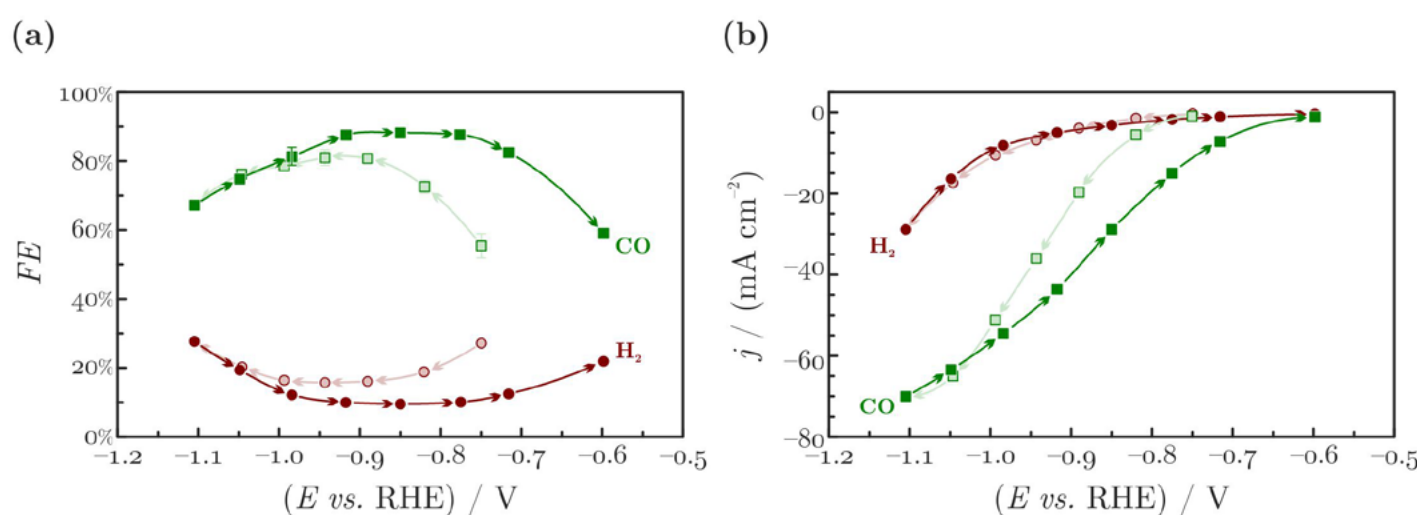

Fig. 3. Potentiostatic electrolyses were carried out using PVP-coated Ag NCs dropcast on a GDE, used as electrocatalysts of CO_2-reduction in a CO_2-saturated 0.5 mol dm^{-3} $KHCO_3$ solution. Faradaic efficiencies (a) and partial current densities (b) of CO (green) and H_2 (red) are shown as a function of the *IR*-drop corrected electrode potential. Data (dots) were recorded by gas chromatography; trends (curves) were created by spline interpolation. Arrows show the direction of the potential excursion.
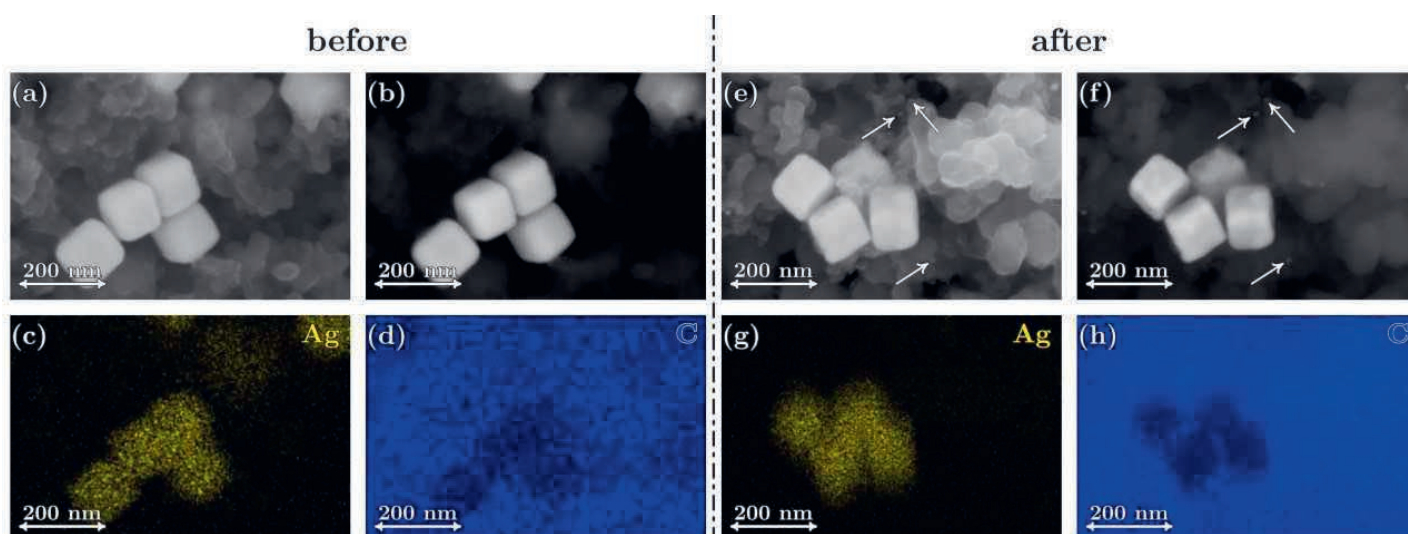

Fig. 4. Ag NCs drop-cast on a GDE, as observed before and after applying the electrochemical treatment shown in Fig. 3, in a CO_2-saturated 0.5 mol dm^{-3} $KHCO_3$ solution. Panels (a) and (e) show the secondary electron, (b) and (f) the back-scattered electron images of the NCs, with a side length of ~100 nm. Elemental composition maps, recorded by EDX, are shown in panels (c) and (g) for silver and in panels (d) and (h) for carbon. The arrows point to smaller Ag particles, formed by the degradation of the NCs during the potential-induced activation.

electroreduction. It is a major problem of catalyst design, however, that PVP – a capping agent used for the shape control of the catalyst particles – can remain adsorbed on the surface of the nanostructures, significantly decreasing the catalytic activity. Although some methods (solvent or thermal annealing based ones) are available for PVP removal, these can potentially damage the catalyst by exhibiting it to contaminations or to thermal shock.

In this short communication we described an alternative, potential-induced activation method that can be used to effectively remove PVP from the surface of Ag nanocubes. The method works *operando* in the electrochemical cell, and requires that before use, the working electrode is polarized to harsh negative potentials. By applying a single 'break-in' cycle, we gain a catalyst that shows higher activity and good stability during subsequent normal operation at mild (not so negative) electrode potentials. The described activation method, as was studied by pre- and post-electrolysis SEM imaging, causes only little degradation to the catalyst surface, and the method can be fine-tuned by selecting proper electrolyte compositions.

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